

ORIGINAL



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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

KRISTIN K. MAYES, Chairman
GARY PIERCE
PAUL NEWMAN
SANDRA D. KENNEDY
BOB STUMP

2010 APR 21 A 10:59

AZ CORP COMMISSION
DOCKET CONTROL

In the matter of

UBS SECURITIES LLC AND UBS
FINANCIAL SERVICES INC.,

Respondents.

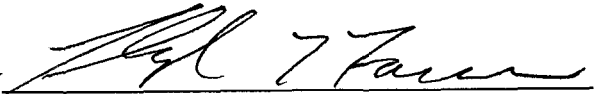
DOCKET NO. S-20732A-10-0095

**RESPONSE TO MOTION TO ASSOCIATE
COUNSEL *PRO HAC VICE***

The Securities Division of the Arizona Corporation Commission has no objection to the admission of Therese L. Surprenant as counsel *pro hac vice* in this action.

Dated this 21st day of April 2010.

ARIZONA CORPORATION COMMISSION

By 

Cheryl T. Farson
General Counsel for the Securities Division
of the Arizona Corporation Commission

ORIGINAL AND EIGHT (8) COPIES
of the foregoing filed this 21st day of
April 2010 with:

Docket Control
Arizona Corporation Commission
1200 West Washington
Phoenix, AZ 85007

Copy of the foregoing mailed this
21st day of April 2010 to:

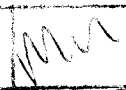
Jesse B. Simpson, Esq.
Lewis and Roca LLP
40 North Central Avenue
Phoenix, AZ 85004-4429

Arizona Corporation Commission

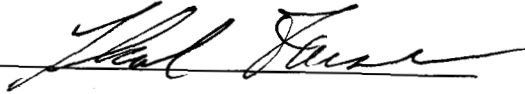
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APR 21 2010

DOCKETED BY



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